

02/06/02

2-11-2

A

**SENNIGER, POWERS, LEAVITT & ROEDEL**  
ATTORNEYS AT LAW

FRANK R. AGOVINO  
DERICK E. ALLEN  
ROBERT M. BAIN  
JAMES J. BARTA, JR.  
G. HARLEY BLOSSER  
JOHN M. BODENHAUSEN  
RICHARD L. BRIDGE  
SARAH J. CHICKOS  
J. BENNETT CLARK  
LINDSAY E. COHEN  
JENNIFER E. COOK  
DAVID E. CRAWFORD, JR.  
JAMES E. DAVIS  
KATHRYN J. DOTY  
ROBERT M. EVANS, JR.  
PATRICIA K. FITZSIMMONS  
PAUL I.J. FLEISCHUT  
MICHAEL E. GODAR  
CHRISTOPHER M. GOFF  
DAVID W. HARLAN  
JAMES D. HARPER  
EDWARD J. HEJLEK  
KAREN Y. HUI

KURT F. JAMES  
VINCENT M. KEIL  
ANTHONY R. KINNEY  
BRIAN P. KLEIN  
WILLIAM E. LAHEY  
THOMAS F. MALONEY  
TIMOTHY B. MCBRIDE  
JULIE J. McMURRY  
MICHAEL G. MUNSELL  
DEBRA D. NYE  
WILLIAM D. O'NEILL  
KATHLEEN M. PETRILLO  
LAURA R. POLCYN  
KEITH A. RABENBERG  
STEVEN M. RITCHEY  
JOHN K. ROEDEL, JR.  
BRADLEY S. SCHAMMEL  
RICHARD A. SCHUTH  
JASON M. SCHWENT  
MICHAEL J. THOMAS  
DONALD W. TUEGEL  
ANDREW C. WEGMAN  
JACOB S. WHARTON

ONE METROPOLITAN SQUARE  
16TH FLOOR  
ST. LOUIS, MISSOURI 63102  
314-231-5400

FACSIMILE 314-231-4342  
<http://www.senniger.com>  
PATENTS, TRADEMARKS, COPYRIGHTS  
AND RELATED MATTERS

OF COUNSEL  
IRVING POWERS  
DONALD G. LEAVITT  
RICHARD G. HBYWOOD

STUART N. SENNIGER  
(1921-1997)

February 6, 2002

**UTILITY PATENT APPLICATION TRANSMITTAL**  
(new nonprovisional applications under 37 CFR 1.53(b))

JC978 U.S. PTO  
10/071924  
02/06/02

Attorney Docket Number: FSUM 10465.2  
First Named Inventor: Robert A. Holton  
Title: C7 CARBAMATE SUBSTITUTED TAXANES  
Express Mail Label Number: EL937975932US

TO: Assistant Commissioner for Patents  
Box Patent Application  
Washington, D.C. 20231

**APPLICATION ELEMENTS**

1. ☒ Fee Transmittal Form  
(original and duplicate)
2. ☐ Applicant claims small entity status
3. ☒ Specification [Total Pages 62]
4. ☐ Drawings [Total Sheets     ]
5. Oath or Declaration [Total Pages 4]
  - a. ☐ Newly executed (original or copy)  
☐ New (unexecuted)
  - b. ☒ Copy from a prior application  
(for continuation/divisional with  
Box 19 completed)
    - i. ☐ DELETION OF INVENTOR(s)  
Signed statement attached  
deleting inventor(s) named  
in prior application.

10071924-020602

6. ☐ Incorporation By Reference  
(useable if Box 5b is marked)

The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 5b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.

7. ☒ Application Data Sheet
8. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
9. ☐ Nucleotide and/or Amino Acid Sequence Submission  
(if applicable, all necessary)
- a. ☐ Computer Readable Form
- b. ☐ Specification Sequence Listing on:
- i. ☐ CD-ROM or CD-R (2 copies); or
- ii. ☐ paper
- c. ☐ Statements verifying identity of above copies

**ACCOMPANYING APPLICATION PARTS**

10. ☒ Assignment Papers (cover sheet & document(s))  
Copy from a prior application (for Continuation/divisional with Box 19 completed)
11. ☐ 37 CFR 3.73(b) Statement ☐ Power of Attorney
12. ☐ English Translation Document (if applicable)
13. ☒ IDS with PTO-1449 ☐ Copies of IDS Citations
14. ☒ Preliminary Amendment
15. ☒ Return Receipt Postcard
16. ☐ Request and Certification for Non-Publication. Form PTO/SB/35 is attached.
17. ☐ Certified Copy of Priority Document(s) if foreign priority is claimed
18. ☐ Other: \_\_\_\_\_

**IF A CONTINUING APPLICATION, CHECK APPROPRIATE BOXES AND SUPPLY THE REQUISITE INFORMATION**

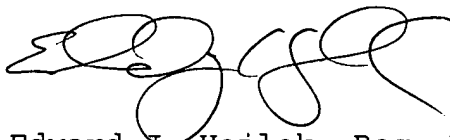
19. ☒ Continuation ☐ Divisional ☐ Continuation-in-Part  
of prior application No.: 09/776,494
- ☐ Complete Application based on provisional Application  
No. \_\_\_\_\_

Prior application information: Examiner: Ba K. Trinh  
Group Art Unit: 1625

CORRESPONDENCE ADDRESS

20. Correspondence Address: Customer Number 321  
Attention: Edward J. Hejlek

Respectfully submitted,

A handwritten signature in black ink, appearing to read 'EJH', with a large, stylized loop at the end.

Edward J. Hejlek, Reg. No. 31,525

EJH/dep

10071924-020602

**FEE TRANSMITTAL**

Application Number TO BE ASSIGNED  
Filing Date February 6, 2002  
Confirmation No. TO BE ASSIGNED  
Inventor(s) Robert A. Holton  
Attorney Docket Number FSUM 10465.21

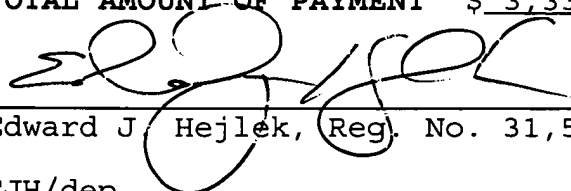
**METHOD OF PAYMENT**

1. ☐ The Commissioner is hereby authorized to charge the indicated fees to Deposit Account No. 19-1345.
- ☐ The Commissioner is hereby authorized to charge any additional fees required under 37 CFR 1.16 and 1.17 to Deposit Account No. 19-1345.
- ☐ Applicant claims small entity status.
2. ☒ Check Enclosed. The Commissioner is hereby authorized to charge any under payment or credit any over payment to Deposit Account No. 19-1345.

**FEE CALCULATION**

1. ☒ BASIC FILING FEE Subtotal (1) \$ 740.00  
(Type: Utility)
2. ☒ EXTRA CLAIM FEES Subtotal (2) \$ 2,592.00
- Total Claims 164  
Independent Claims 2  
Multiple Dependent Claims 0
3. ☐ ADDITIONAL FEES Subtotal (3) \$ \_\_\_\_\_
- ☐ Surcharge - late filing fee or oath  
☐ Surcharge - late provisional filing fee or cover sheet  
☐ Extension for reply within \_\_\_\_\_ month  
☐ Notice of Appeal  
☐ Filing a Brief in Support of an appeal  
☐ Request for ex parte Reexamination  
☐ Petitions to the Commissioner  
☐ Submission of Information Disclosure Statement  
☐ Recording each patent assignment per property  
☐ Request for Continued Examination  
☐ Other: \_\_\_\_\_

**TOTAL AMOUNT OF PAYMENT** \$ 3,332.00

  
Edward J. Hejlek, (Reg. No. 31,525

2/6/02  
Date

EJH/dep

Express Mail Label No. EL 937975932US

20020206-42672001